

SEPARATE SOLICITOR'S WRITTEN DISCLOSURE

Pursuant to SEC Rule 206(4)-3 of the Investment Advisers Act of 1940

Soliciting Firm: _____

Solicitor: _____

Investment Advisor: Foy Financial Services, Inc. (Foy Financial)
12501 Holdrege
Lincoln, NE 68527

- There is no direct or implied affiliation between Foy Financial and the Solicitor and their Soliciting Firm beyond the terms of the Investment Advisory Agreement, and the terms of the Solicitor's Agreement executed between Foy Financial and the Soliciting Firm.
- Foy Financial and the Soliciting Firm have entered into a written agreement pursuant to which Foy Financial will compensate the Soliciting Firm for introducing or referring clients to Foy Financial. There is no differential in the advisory fees charged to the Client by Foy Financial attributable to the agreement between the Soliciting Firm and Foy Financial. Accordingly, Foy Financial will not charge you any additional fees or expenses as a result of the referral fees payable to the Soliciting Firm.
- With respect to investment advisory services rendered to the Client, Foy Financial pays to the Soliciting Firm as a referral fee up to 60% of the management fee paid by the Client to Foy Financial for as long as the account remains under management. It is understood that the referral fee will be paid from the management fee that is earned, received and retained by Foy Financial from the Client and all payments to Solicitors are based on the Soliciting Firm's applicable compensation schedule.
- The Soliciting Firm may receive additional sales compensation, directly or indirectly, from securities purchased by the Client.

Client hereby acknowledges receipt of this disclosure

X _____
Client's Signature *Date*

X _____
Joint Client's Signature (if applicable) *Date*

X _____
Solicitor's Signature *Date*