

Investor's Profile

In order to assist us in the management of your account, please complete this confidential Investor Profile.

Client

Name: _____ Home Phone:(_____) _____ - _____
Address: _____ Work Phone:(_____) _____ - _____
City: _____ Cell Phone:(_____) _____ - _____
State: _____ Zip: _____ Email: _____
Date of Birth: ____/____/____ Social Security Number: _____ - _____ - _____
Marital Status: Single Married Divorced Widowed Separated Number of Dependents: _____
Salutation: Mr. Mrs. Ms. Dr. Other: _____ U.S. Citizen: Yes No: Specify _____
Employment Status: Employed Unemployed Retired Homemaker
Employer: _____ Position: _____

Joint Client

Name: _____ Home Phone:(_____) _____ - _____
Address: _____ Work Phone:(_____) _____ - _____
City: _____ State: _____ Relationship to Owner: _____
Date of Birth: ____/____/____ Social Security Number: _____ - _____ - _____
Marital Status: Single Married Divorced Widowed Separated
Number of Dependents: _____ U.S. Citizen: Yes No: Specify _____
Employment Status: Employed Unemployed Retired Homemaker
Employer: _____ Position: _____

Financial Profile

Total Annual Income: \$ _____ Individual Joint Tax Bracket: _____ %
Estimated Net Worth: \$ _____ Previous Investment Experience: _____ years.
My/Our primary overall objective is: Growth Growth/Income Income Aggressive Growth
Overall investment horizon: Less than 5 years 5-10 years Over 10 years
Comments:

I/We understand that by completing and signing this Investor Profile, my/our answers are true and correct to the best of my/our knowledge. I/We further acknowledge that this Profile does not make or imply any guarantee to the attainment of my/our investment objectives. Furthermore, I/we will notify FFS if any of the information provided should change, which could alter my/our investment objectives.

X _____
Client Signature Date

X _____
Joint Client's Signature, if applicable Date

X _____
Financial Advisor's Signature Date

Reviewed by Foy Financial Services, Inc.

Authorized Signature Date